



## SHORT TERM DISABILITY CLAIM FORM

The Benefits Center  
P.O. Box 100158, Columbia, SC 29202-3158

Pacific Time Zone Toll-free: 1-877-851-7637  
All Other Time Zones Toll-free: 1-800-858-6843  
Fax (All Time Zones) Toll-free: 1-800-447-2498  
Call toll-free Monday through Friday, 8 a.m. to 8 p.m. (Eastern Time).

For use with policies issued by the following Unum Group ["Unum"] subsidiaries:

Unum Life Insurance Company of America Provident Life and Accident Insurance Company  
The Paul Revere Life Insurance Company

### OUR COMMITMENT TO YOU

We understand that a disabling illness or injury creates emotional, physical and financial challenges and we want to do whatever we can to help you. You have our commitment to provide you with responsive service and to be understanding and sensitive to your circumstances during the claim process.

### Instructions

**This form should be completed by you (the employee), your employer and attending physician.**

- **Employee Statement (pages 4-5):** Please complete this section of the claim form and fax it to 1-800-447-2498. If you prefer, it may be mailed to the address noted above.
- Please complete the name and date of birth fields at the top of every page for easy identification purposes in case the pages become separated.
- **Authorization to Share Information with Third Parties (page 6):** If you wish to give us permission to share the details of your claim with a third party (such as your spouse, son, daughter, friend, etc.), please sign and date this form and fax it to 1-800-447-2498. If you prefer, it may be mailed to the address noted above.
- **Employee Authorization (last page):** Please sign and date this form and provide a copy to your attending physician. Fax the completed form to 1-800-447-2498 or mail it to the address noted above.
- **Employer Statement (pages 7-8):** Please ask your employer to complete, sign and date the form and fax it to 1-800-447-2498 or mail it to the address noted above. If you are applying for Individual Short Term Disability benefits only, we do not require the Employer Statement.
- **Attending Physician Statement (pages 9-10):** Please complete Part I of this statement, then give this section of the claim form to the physician or treating provider primarily responsible for your care. Ask him/her to complete Part II and fax the completed form to 1-800-447-2498. If s/he prefers, it may be mailed to the address noted above.

### Questions?

If, at any time, you have questions about the claim process or need help to complete this form, please call the above toll-free number. Our Contact Center is staffed with experienced professionals who can be contacted from 8 a.m. to 8 p.m. Monday through Friday.



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**Instructions (continued) / Claim Fraud Statements**

**Fraud Warning**

For your protection, the laws of several states, including Alaska, Arizona, Arkansas, Delaware, Idaho, Indiana, Louisiana, Maine, Maryland, New Mexico, Ohio, Oklahoma, Rhode Island, Tennessee, Texas, Virginia, Washington, and West Virginia require the following statement to appear on this claim form:

Any person who knowingly and with the intent to injure, defraud or deceive an insurance company presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Fraud Warning for Alabama Residents**

For your protection, Alabama law requires the following to appear on this claim form:

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

**Fraud Warning for California Residents**

For your protection, California law requires the following to appear on this claim form:

Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

**Fraud Warning for Colorado Residents**

For your protection, Colorado law requires the following to appear on this claim form:

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**Fraud Warning for District of Columbia Residents**

For your protection, the District of Columbia requires the following to appear on this claim form:

**WARNING:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits, if false information materially related to a claim was provided by the applicant.

**Fraud Warning for Florida Residents**

For your protection, Florida law requires the following to appear on this claim form:

Any person who knowingly and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing false, incomplete or misleading information is guilty of a felony of the third degree.

**Fraud Warning for Kentucky Residents**

For your protection, Kentucky law requires the following to appear on this claim form:

Any person who knowingly and with intent to defraud any insurance company or other person files a statement of claim containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**Fraud Warning for Minnesota Residents**

For your protection, Minnesota law requires the following to appear on this claim form:

A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

**Fraud Warning for New Hampshire Residents**

For your protection, New Hampshire law requires the following to appear on this claim form:

Any person who, with a purpose to injure, defraud, or deceive any insurance company, files a statement of claim containing any false, incomplete, or misleading information is subject to prosecution and punishment for insurance fraud, as provided in RSA 638.20.



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**Instructions (continued) / Claim Fraud Statements**

**Fraud Warning for New Jersey Residents**

For your protection, New Jersey law requires the following to appear on this claim form:

Any person who knowingly and with intent to defraud any insurance company or other persons, files a statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact, material thereto, commits a fraudulent insurance act, which is a crime, subject to criminal prosecution and civil penalties.

**Fraud Warning for New York Residents**

For your protection, New York law requires the following to appear on this claim form:

Any person who knowingly and with the intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**Fraud Warning for Pennsylvania Residents**

For your protection, Pennsylvania law requires the following to appear on this claim form:

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**Fraud Warning for Puerto Rico Residents**

For your protection, Puerto Rico law requires the following to appear on this claim form:

Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation with the penalty of a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. If aggravating circumstances are present, the penalty thus established may be increased to a maximum of five (5) years; if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.







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You are not required to sign this Optional Authorization. However, if you would like us to communicate with a family member, friend or other third party about your claim, we recommend completing the information below. Please sign and date the form as indicated and mail or fax it to the address or fax number indicated above.

**Optional Authorization to Disclose Information to Third Parties**

To assist in the evaluation or administration of my claim(s), I authorize Unum Group, its subsidiaries and duly authorized representatives ("Unum") to share personal health and financial information relating to my claim with the family members, friends, and/or other third parties listed below:

My Spouse: \_\_\_\_\_  
(Name) (Telephone Number)

Other Family Member: \_\_\_\_\_  
(Name / Relationship) (Telephone Number)

Other person: \_\_\_\_\_  
(Name / Relationship) (Telephone Number)

I authorize Unum to leave messages about my claim on my voicemail / answering machine.

Yes  No

I understand that information about my claim may include information about my health and that such information about my health may be related to any disorder of the immune system including, but not limited to, HIV and AIDS; use of drugs and alcohol; and mental and physical history, condition, advice or treatment, but does not include psychotherapy notes.

I do not wish the following information about my claim to be shared (leave blank if not applicable):

\_\_\_\_\_

I further understand that the information is subject to redisclosure and might not be protected by certain federal regulations governing the privacy of health information.

I may revoke this authorization in writing at any time except to the extent Unum or the authorized recipient of my information has relied on it prior to receiving my notice of revocation. I may revoke this Authorization by sending written notice to the address above.

This authorization is valid for the shorter of two (2) years or the duration of my claim. I may request a copy of the Authorization and a copy shall be as valid as the original.

\_\_\_\_\_  
Employee Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Social Security Number

I signed on behalf of the employee as \_\_\_\_\_ (indicate relationship). If Power of Attorney Designee, Personal Representative, Guardian, or Conservator, please attach a copy of the document granting authority.

Unum is a registered trademark and marketing brand of Unum Group and its insuring subsidiaries.



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**EMPLOYER STATEMENT - To be completed by the Employer (PLEASE PRINT)**

**A. Information About the Employer**

Employer Name [grid] Employer Telephone Number [grid]  
Employer Address [grid]  
City [grid] State [grid] Zip [grid]

**B. Information About the Employee**

Employee Name (Last Name, Suffix, First Name, MI) [grid]  
Employee Address [grid]  
City [grid] State [grid] Zip [grid]  
Employee Telephone Number [grid] Social Security Number [grid] Date of Hire (mm/dd/yy) [grid]

Please check all types of coverage this employee has with Unum and provide the information requested.

<input type="checkbox"/> Short Term Disability	Policy Number	Division Number	Effective Date
<input type="checkbox"/> Long Term Disability	Policy Number	Division Number	Effective Date
<input type="checkbox"/> Voluntary Benefits Disability	Policy Number		Effective Date

If this is a Section 125/Cafeteria plan, indicate which option of coverage this employee has chosen.

Previous Plan Year: \_\_\_\_\_ Current Plan Year: \_\_\_\_\_  
Date of Open Enrollment (mm/dd/yy): \_\_\_\_\_ Option: \_\_\_\_\_ Date of Open Enrollment (mm/dd/yy): \_\_\_\_\_ Option: \_\_\_\_\_

Is this employee:  Full-time  Part-time  Exempt  Non-exempt  Bargaining  Non-bargaining

Date Last Worked (mm/dd/yy) \_\_\_\_\_ Number of hours worked on date last worked \_\_\_\_\_

Check off regular work days:  Sun  Mon  Tues  Wed  Thurs  Fri  Sat Hours scheduled to work per week: \_\_\_\_\_

Did this employee reduce his/her hours prior to his/her last day worked due to this medical condition?  Yes  No

If yes, please provide specific dates and hours worked.

Occupation Title (please attach a copy of the employee's job description)

Has the employee's employment been terminated?  Yes  No If yes, termination date (mm/dd/yy): \_\_\_\_\_

How was the employee paid? (please check all that apply)  
 Hourly  Salary  Overtime  Bonus  Commissions  Other If the policy defines earnings as prior year W-2, please attach a copy.

Salary/Wage prior to date last worked  
 Hourly  Weekly  Bi-Weekly  Semi-Monthly Bonuses (per week) \$ \_\_\_\_\_  
\$ \_\_\_\_\_ Commissions (per week) \$ \_\_\_\_\_

Employee Pre-Tax Withholdings: Indicate pre-tax withholdings in effect just prior to disability so that earnings will be calculated as defined by the policy.

401(k)/403(b) \_\_\_\_\_% Pre-tax medical and other insurance \$ \_\_\_\_\_/week Flexible spending account \$ \_\_\_\_\_/week

Date paid through (mm/dd/yy): \_\_\_\_\_ For:  Salary Continuation  Vacation Pay  Accrued Sick pay  Other

Other than payments under this policy, will the employee be receiving any other income from you, such as K-1 earnings, bonuses, commissions, salary continuation, PTO?  Yes  No





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**EMPLOYER STATEMENT (Continued)**

Employee Name (Last Name, Suffix, First Name, MI)

Date of Birth (mm/dd/yy)

Grid for employee name and date of birth input.

Is the claim the result of a work related injury or illness?  Yes  No

If yes, has a Workers' Compensation claim been filed?  Yes  No

**Complete only for New York Disability Benefits Law or New Jersey Temporary Disability Benefits Salary Information**

If this policy provides New York Disability Benefits Law or New Jersey Temporary Disability Benefits coverage, please provide the earnings for the 8 weeks prior to disability. (For Disability Benefits Law - include the week in which disability began. For Temporary Disability Benefits - include the **8 full** weeks of income just prior to date disability began.)

Table with 2 main columns for 'Week Ending' (Weeks 1-4) and 'Week Ending' (Weeks 5-8). Each week has sub-columns for Mo., Day, Yr., No. Days Worked, and Amount.

**C. Information Needed for Calculation of FICA**

What percentage of the Short Term Disability benefit is taxable? \_\_\_\_\_%

[See IRS Publication 15-A Employer's Supplemental Tax Guide, Section 6, Sick Pay Reporting and/or IRS Revenue Ruling 2004-55 for more information on calculating the taxable percent.]

**Note:** We will assume the benefit is 100% taxable if this information is not provided.

**D. Information About Your Return-to-Work Program**

If the employee is released to return-to-work in restricted duty, are you willing to discuss accommodations?  Yes  No

If yes, who should we contact to discuss a return-to-work plan?

Name

Telephone Number

**FRAUD NOTICE:** Any person who knowingly files a statement of claim containing false or misleading information is subject to criminal and civil penalties. This includes Employer portions of the claim form.

**E. Signature of Benefit Administrator (Please Print)**

The above statements are true and complete to the best of my knowledge and belief.

Name of Person Completing Form

Telephone Number

Fax Number

E-mail Address

Signature

Date Signed

X





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**ATTENDING PHYSICIAN STATEMENT (PLEASE PRINT)**

**PART I: TO BE COMPLETED BY PATIENT**

Name of Patient (Last Name, Suffix, First Name, MI) [grid] Social Security Number [grid]  
Date of Birth (mm/dd/yy) [grid] Home Telephone Number [grid] Employer Telephone Number [grid]  
Employer Name [grid]

**PART II: TO BE COMPLETED BY PHYSICIAN OR TREATING PROVIDER**

**A. Complete this section for pregnancy, then go to section C**

Expected Delivery Date (mm/dd/yy): Actual Delivery Date (mm/dd/yy): Delivery Type:  Vaginal  C-Section Date of first visit for this pregnancy (mm/dd/yy): Date Hospitalized (mm/dd/yy):  
Diagnosis: ICD Code: Did you advise your patient to stop working?  Yes  No If yes, on what date (mm/dd/yy)?

Were there any complications causing your patient to stop working prior to her expected delivery date?  Yes  No  
If yes, please explain:

**B. Complete this section for all conditions except pregnancy, then go to Section C**

Date of first visit for this current condition(s) (mm/dd/yy): Date of last office visit (mm/dd/yy): Date of next office visit (mm/dd/yy): Did you advise your patient to stop working?  Yes  No If yes, on what date (mm/dd/yy)?

Has the patient been treated for the same/similar condition in the past?  Yes  No  Unknown

If yes, please provide treatment dates (mm/dd/yy): From \_\_\_\_\_ Through \_\_\_\_\_

Is the patient's condition work related?  Yes  No  Unknown Patient's Height: Patient's Weight:

Primary Diagnosis: Primary ICD Code:

Secondary Diagnosis: Secondary ICD Code:

Has the patient been hospitalized?  Yes  No If yes, date hospitalized (mm/dd/yy): \_\_\_\_\_ through (mm/dd/yy): \_\_\_\_\_

Was surgery performed?  Yes  No If yes, what procedure was performed? CPT Code: Date Surgery Performed (mm/dd/yy):

What is your treatment plan? Please include all medications.



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**ATTENDING PHYSICIAN STATEMENT (Continued)**

Patient Name (Last Name, First Name, MI, Suffix) [Grid] Date of Birth (mm/dd/yy) [Grid]

**Other Providers:** Are you aware of or have you referred your patient to other treating providers? If yes, please provide complete name, contact information and specialty of any other treating physicians.

Name	Specialty	Address	Phone #

Have you advised the patient to return to work?  Yes  No Expected return to work date (mm/dd/yy):  Full Time  Part Time  
Part-time hours per day

**CURRENT RESTRICTIONS** (activities patient should not do) and **CURRENT LIMITATIONS** (activities patient cannot do). Please be specific and understand that a reply of "no work" or "totally incapacitated" will not enable us to evaluate the claim for benefits.

What diagnostic or clinical findings support your patient's work restrictions and limitations?

**FRAUD NOTICE:** Any person who knowingly files a statement of claim containing false or misleading information is subject to criminal and civil penalties. This includes Attending Physician portions of the claim form.

**C. Signature of Attending Physician**

The above statements are true and complete to the best of my knowledge and belief.

Physician Name (Last Name, First Name, MI, Suffix) Please Print Degree/Specialty

Address

City State Zip

Telephone Number Fax Number Physician Tax ID Number: Are you related to this patient?  Yes  No  
If yes, what is the relationship?

**Signature of Physician** **Date**  
**X**



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**EMPLOYEE/INDIVIDUAL AUTHORIZATION – FOR EMPLOYEE TO COMPLETE**

Please sign and return this authorization to The Benefits Center at the address above. You are entitled to receive a copy of this authorization. This authorization is designed to comply with the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule.

**Authorization**

**I authorize** health care professionals, hospitals, clinics, laboratories, pharmacies and all other medical or medically related providers, facilities or services, rehabilitation professionals, vocational evaluators, health plans, insurance companies, third party administrators, insurance producers, insurance service providers, credit bureaus, the MIB Group, Inc., GENEX Services, Inc., The Advocator Group and other Social Security advocacy vendors, The Association of Life Insurance Companies (which operates the Health Claims Index and the Disability Income Record System), professional licensing bodies, employers, attorneys, financial institutions and/or banks, and governmental entities;

**To disclose** information, whether from before, during or after the date of this authorization, about my health, including HIV, AIDS or other disorders of the immune system, use of drugs or alcohol, mental or physical history, condition, advice or treatment (except this authorization does not authorize release of psychotherapy notes), prescription drug history, earnings, financial or credit history, professional licenses, employment history, insurance claims and benefits, and all other claims and benefits, including Social Security claims and benefits;

**To the following persons:** Unum Group and its subsidiaries, Unum Life Insurance Company of America, Provident Life and Accident Insurance Company, The Paul Revere Life Insurance Company, and persons who evaluate claims for any of those companies (“Unum”), employee benefit plans sponsored by my employer and any person providing services to, or insurance benefits on behalf of, such plans, and to anyone who provides services, including the evaluation of claims, related to benefits offered by Unum, my employer, or the Social Security Administration (“Authorized Recipients”);

**For the purposes of evaluating and administering claims, including assistance with return to work.** Unum also may rely on this authorization for one year, or as otherwise permitted by law, to disclose information about me to the Authorized Recipients so they may conduct health care operations, claims payment, administrative, and audit functions related to my benefit plans.

**Information authorized for use or disclosure may include information which may indicate the presence of a communicable or non-communicable disease.**

If I do not sign this authorization or if I alter or revoke it, Unum may not be able to evaluate my claim(s), which may lead to my claim(s) being denied. I may revoke this authorization at any time by sending written notice to the address above. I understand that revocation will not apply to any information that is requested prior to Unum receiving notice of revocation.

The privacy protections established by HIPAA may not apply to information disclosed under this authorization, but other privacy laws do apply. Information disclosed under this authorization may be redisclosed only as permitted or required by law, including state fraud reporting laws. For evaluation and administration of claims, this authorization is valid for two years or the duration of my claim.

\_\_\_\_\_  
Insured’s Signature

\_\_\_\_\_  
Date Signed

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Social Security Number

I signed on behalf of the Insured as \_\_\_\_\_ (Relationship). If Power of Attorney Designee, Guardian, or Conservator, please attach a copy of the document granting authority.